

07-09-01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 545P007  
First Inventor Barry J. Barclay  
Title B COMPLEX VITAMIN COMPOSITIONS THAT  
PROTECT AGAINST CELLULAR  
Express Mail Label No. EI724599367US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 31]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Unsigned Declaration..

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

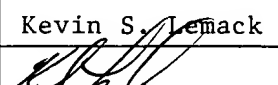
Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name	Kevin S. Lemack Niels & Lemack				
Address	176 E. Main Street - Suite 8				
City	Westboro	State	MA	Zip Code	01581
Country	U.S.A.	Telephone	(508) 898-1818	Fax	(508) 898-2020

Name (Print/Type)	Kevin S. Lemack	Registration No. (Attorney/Agent)	32,579
Signature		Date	July 6, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

07/06/01

JC355 U.S. PTO

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07/06/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number	Not yet assigned
Filing Date	Herewith by Express Mail
First Named Inventor	Barry J. Barclay
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	545 P007

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 14-0930  
Deposit Account Name Nields & Lemack

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
79	-20** = 59	9	531.00
4	-3** = 1	40	40.00
Multiple Dependent		135	135.00

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 706.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Name (Print/Type)	Kevin S. Lemack	Registration No. (Attorney/Agent)	32,579	Telephone	(508) 898-1818
Signature		Date	July 6, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

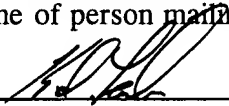
Applicant : Barry J. Barclay  
Serial No. : Not yet assigned  
Filed : Herewith By Express Mail  
For : B COMPLEX VITAMIN COMPOSITIONS THAT PROTECT  
AGAINST CELLULAR DAMAGE CAUSED BY ULTRAVIOLET  
LIGHT  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 545P007  
Type of paper : Letter

"EXPRESS MAIL" MAILING LABEL NO. EI724599367US  
DATE OF DEPOSIT: July 6, 2001

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner of Patents, **BOX: PATENT APPLICATION**, Washington, D.C. 20231.

Kevin S. Lemack

Name of person mailing paper or fee

  
Signature of person mailing paper or fee

0900064-070601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Barry J. Barclay**

For: **B COMPLEX VITAMIN COMPOSITIONS THAT PROTECT AGAINST CELLULAR  
DAMAGE CAUSED BY ULTRAVIOLET LIGHT**

Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

LETTER

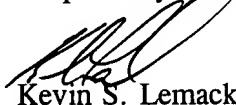
The above-identified applicants enclose for filing a patent application entitled "**B COMPLEX VITAMIN COMPOSITIONS THAT PROTECT AGAINST CELLULAR DAMAGE CAUSED BY ULTRAVIOLET LIGHT**". Said attached patent application includes (1) Patent Application Transmittal Letter (1-Page); (2) Patent Application: 31-Page Specification (including 8-pages of claims (72) and 1-Page Abstract) and 3-sheets of 8½x11 size formal drawings; and (3) Fee Transmittal Form, together with a check in the amount of \$1061.00 in payment of the filing fee; (4) Declaration (unsigned) (1-Page), with Express Mail Cover Sheet.

The executed Declaration and Power of Attorney, with surcharge will be submitted in due course.

All correspondence concerning this application should be mailed to:

**Kevin S. Lemack  
Niels & Lemack  
176 E. Main Street - Suite 8  
Westboro, Massachusetts 01581**

Respectfully submitted,



**Kevin S. Lemack  
Attorney for Applicant  
Registration No. 32,579**

Date: July 6, 2001

**EXPRESS MAIL NO: EI724599367US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Barry J. Barclay  
Serial No. : Not yet assigned  
Filed : Herewith By Express Mail  
For : B COMPLEX VITAMIN COMPOSITIONS THAT PROTECT  
AGAINST CELLULAR DAMAGE CAUSED BY ULTRAVIOLET  
LIGHT  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 545P007  
Type of paper : Utility Patent Application Transmittal Form

"EXPRESS MAIL" MAILING LABEL NO. EI724599367US  
DATE OF DEPOSIT: July 6, 2001

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Kevin S. Lemack  
Name of person mailing paper or fee

  
Signature of person mailing paper or fee

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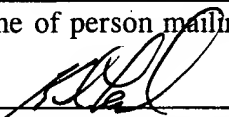
Applicant : Barry J. Barclay  
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LIGHT  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 545P007  
Type of paper : Patent Application

"EXPRESS MAIL" MAILING LABEL NO. **EI724599367US**  
DATE OF DEPOSIT: July 6, 2001

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Kevin S. Lemack

Name of person mailing paper or fee

  
\_\_\_\_\_  
Signature of person mailing paper or fee

09900064-070601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Barry J. Barclay

Serial No. : Not yet assigned

Filed : Herewith By Express Mail

For : B COMPLEX VITAMIN COMPOSITIONS THAT PROTECT  
AGAINST CELLULAR DAMAGE CAUSED BY ULTRAVIOLET  
LIGHT

Examiner : Not yet assigned

Art Unit : Not yet assigned

Attorney  
Docket No. : 545P007

Type of paper : Declaration (unsigned)

"EXPRESS MAIL" MAILING LABEL NO. **EI724599367US**  
DATE OF DEPOSIT: July 6, 2001

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Kevin S. Lemack  
Name of person mailing paper or fee

  
Signature of person mailing paper or fee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

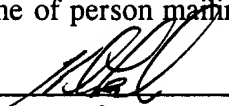
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Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 545P007  
Type of paper : Fee Transmittal Form, together with a check

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Kevin S. Lemack

Name of person mailing paper or fee

  
\_\_\_\_\_  
Signature of person mailing paper or fee

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